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8-28-03

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q62290

Masaki OKAYASU, et al.

Appln. No.: 09/741,006

Group Art Unit: 3727

Confirmation No.: 6657

Examiner: Not yet assigned

Filed: December 21, 2000

For: PACKAGE STRUCTURE FOR A HYBRID OPTICAL MODULE AND METHOD OF  
PRODUCING THE SAME

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
Washington, D.C. 20231

Sir:

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In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby  
notify the U.S. Patent and Trademark Office of the documents which are listed on the attached  
PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem  
material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the  
corresponding Communication from a Foreign Patent Office.

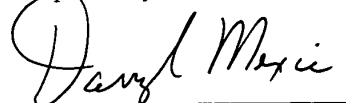
The present Information Disclosure Statement is being filed: (1) No later than three  
months from the application's filing date for an application other than a continued prosecution  
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the  
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a  
request for continued examination (RCE) under §1.114, and therefore, no Statement under

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 09/741,006  
ATTORNEY DOCKET NO. Q62290

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Darryl Mexic", written over a horizontal line.

Darryl Mexic  
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Date: November 7, 2002



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

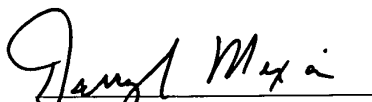
Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in any communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of said Information  
Disclosure Statement.

Respectfully submitted,

  
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Substitute for Form 1449 A &amp; B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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## Complete if Known

Application Number	09/741,006
Confirmation Number	6657
Filing Date	December 21, 2000
First Named Inventor	Masaki OKAYASU
Art Unit	3727
Examiner Name	Not yet assigned
Attorney Docket Number	Q62290

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,285,062		2/8/1994	Lee
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 851 414	A2	7/1/1998	Sanyo Electric Co., Ltd.	

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and use MPEP 609. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.